

EXHIBIT 4 TO THE MEMORANDUM OF LAW

Ex4mol

CMD: IA001
112799/2007
VELEZ, OLIVER L.
ACTION: ARTICLE 75 (ARBITRATION)
IAS CTGY: SPECIAL PROCEEDINGS
DISCOVERY: DUE WITHIN 12 MONTHS
TI(001) AT(002) CA(000) CO(000) NOTE OF ISSUE: NONE

NWYK - CASE INQUIRY
ACTIVE
VERSUS
SUPREME COURT
FINANCIAL INDUSTRY REGULATOR
PI: () PD: () IAS DTE: 09/25/2007
JUSTICE: SOLOMON, JANE
RJI TYPE: PETITION
JURY UNKWN NO S/P

001 - ORIG: 10/10/2007 MOTIONPART MOTION PART
PRIOR: 10/17/2007 SUBM SUBMISSIONS PART
RELIEF: CSA COMPEL OR STAY ARBITRATION
PROCEED: MOTION PLAINTIFF: DEFENDANT:
DECISION:
JUSTICE: SOJ SOLOMON, JANE
EFFECT:
ORDER:
NOTIFY: REFER: CONSOL CASE ID:

ADJOURN: 001

APPR - 10/17/2007 SUBM SUBMISSIONS PART
JUSTICE: SOJ SOLOMON, JANE
ACTION: FSN FULLY SUBMITTED - NO OPP
TYPE: MOTION COMMENT1: DEFAULT
COMMENT2:

P - 000000/0000 N - 000000/0000